

# ARMed SPHINCS: Computing a 41 KB signature in 16 KB of RAM

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The Netherlands

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DS lunch talk

# Context

- ▶ SPHINCS<sup>1</sup>: Stateless, practical, **hash-based**, incredibly nice cryptographic signatures
- ▶ Hash functions do not fall to Shor (but halved by Grover)
- ▶ Hash-based schemes: conservative choice post-quantum
  - ▶ Necessary building block for signatures
  - ▶ Tight security reductions

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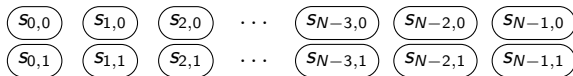
<sup>1</sup>Daniel J. Bernstein, Diana Hopwood, Andreas Hülsing, Tanja Lange, Ruben Niederhagen, Louiza Papachristodoulou, Peter Schwabe and Zooko Wilcox O'Hearn, *Eurocrypt 2015*

# Lamport signatures

- ▶ 'Classic example' of hash-based signatures

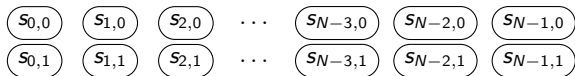
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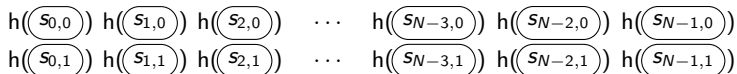


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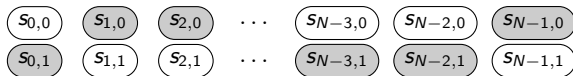


- ▶ Public key: hashes of these random numbers

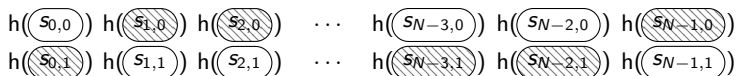


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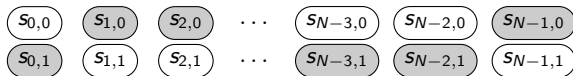
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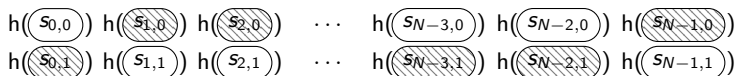
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- ▶ Verification: hash, compare to public key
- ▶ Can only do this **once!**

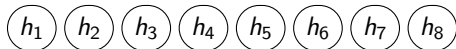
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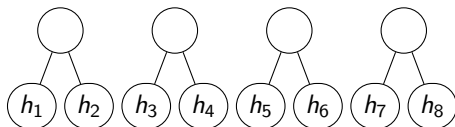
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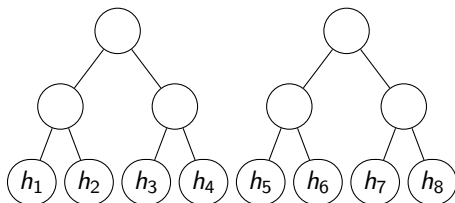
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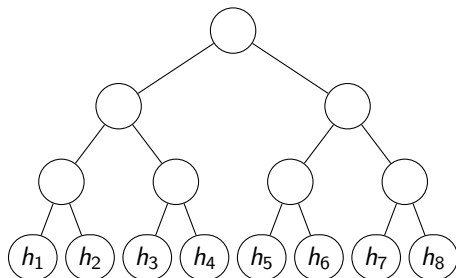
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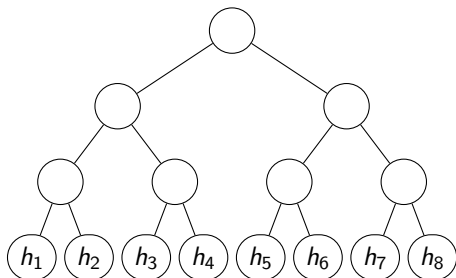
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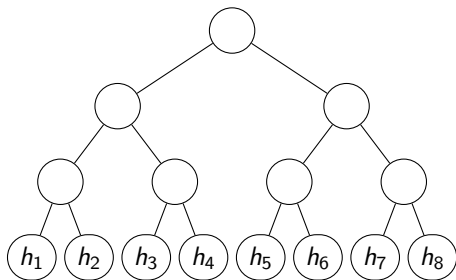
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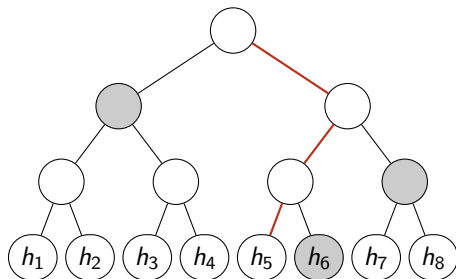
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- ▶ Signature must now include:
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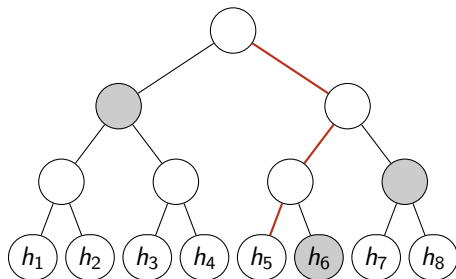
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- ▶ Verification: verify  $\sigma$ , reconstruct root node



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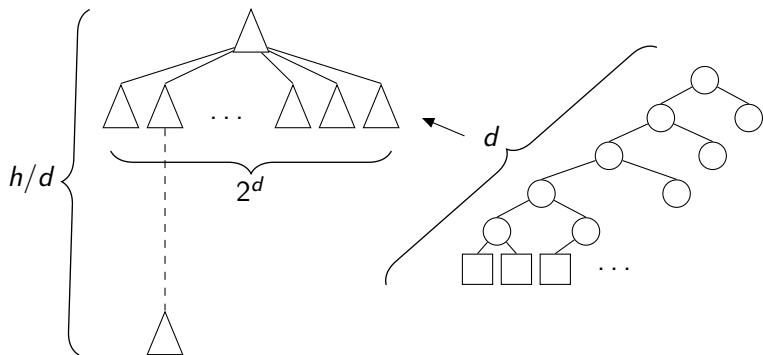
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- ▶ Signatures are somewhat large..
  
- ▶ Need to **remember** the last used index!
  - ▶ Terribly inconvenient

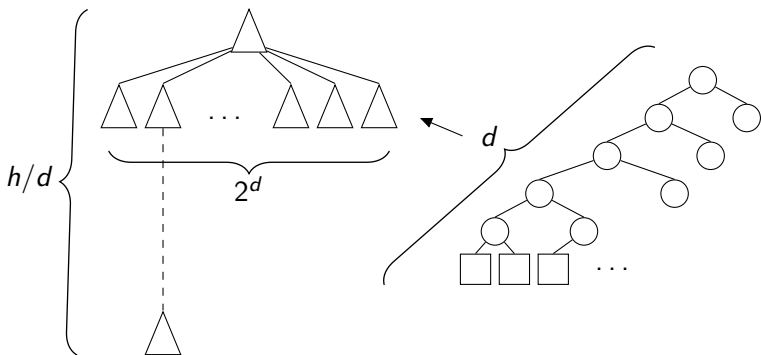
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- ▶ Large Merkle tree, height  $h$
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- ▶ Layers of OTS: no need to compute entire tree
- ▶ Layers of hashing: acceptable signature size
- ▶ 'Few time signature scheme' (FTS) for leaf nodes
- ▶ Chance of a break becomes negligible

# Key generation

- ▶ Generate random values  $SK_1$  and  $SK_2$
- ▶ Use  $SK_1$ : generate OTS keys of top sub-tree
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- ▶ In general:  $SK_1$  generates OTS and FTS keys *deterministically*

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- ▶ Repeat.. until root node
- ▶ Signature:  $\Sigma = (R, \sigma_{FTS}, (\sigma_{OTS_1}, Auth_1), (\sigma_{OTS_2}, Auth_2), \dots, (\sigma_{OTS_{h/d}}, Auth_{h/d}))$



# SPHINCS-256

- ▶ 41KB signatures, 1KB keys
- ▶ 256-bit hash functions
  - ▶ 128-bit post-quantum security
- ▶  $h = 60, d = 5$ : 12 layers of sub-trees
- ▶  $2^{60}$  leaf nodes

# Building blocks

- ▶ OTS
- ▶ Hash functions
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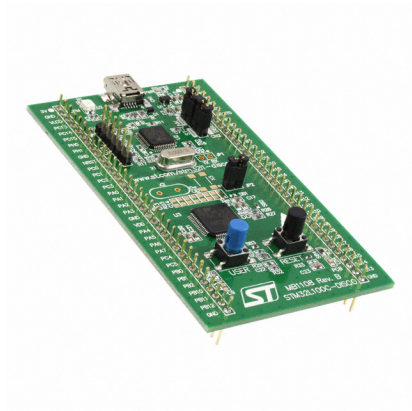
- ▶ OTS: *Winternitz OTS variant (WOTS+)*
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- ▶ FTS: *HORST*
  - ▶ Contains 16-layer Merkle tree (so  $2^{16} = 65536$  leafs)
  - ▶ Goal: 32 authentication paths, root node
  - ▶ Paths start at (deterministically chosen) random leafs
  - ▶ Complete tree takes approx. 2MB RAM..

# Platform

- ▶ STM32L100C board with Cortex M3
  - ▶ libopenm3 firmware
  - ▶ 32MHz, 32-bit architecture
  - ▶ 256KB Flash
  - ▶ **16KB RAM**

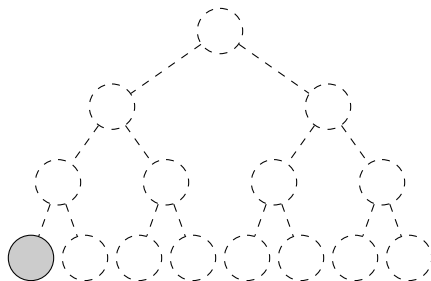


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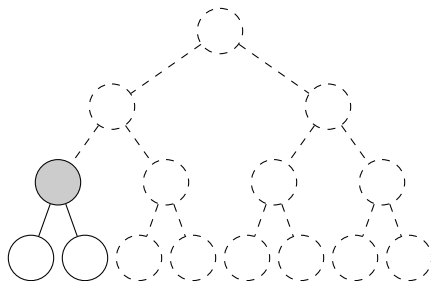
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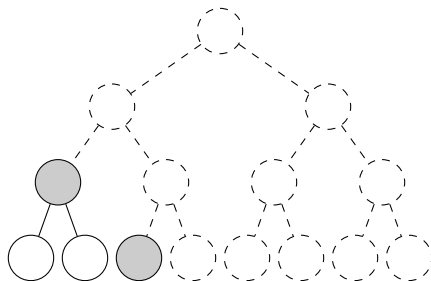
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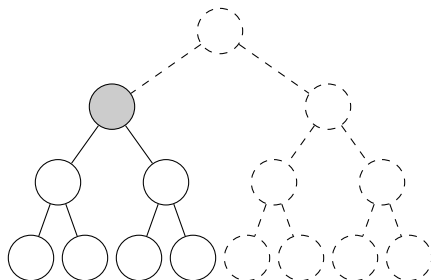
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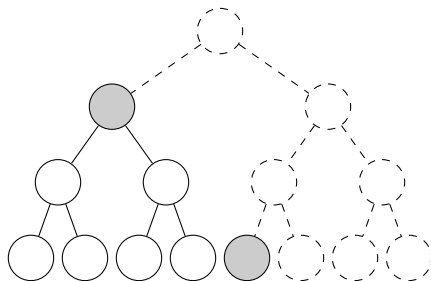
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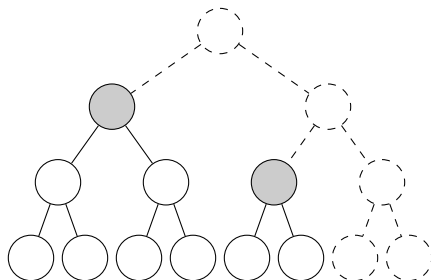
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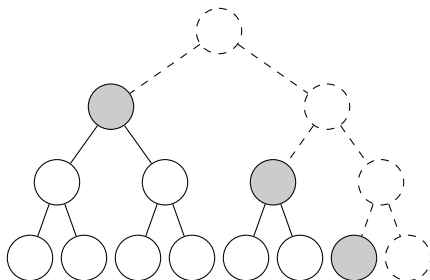
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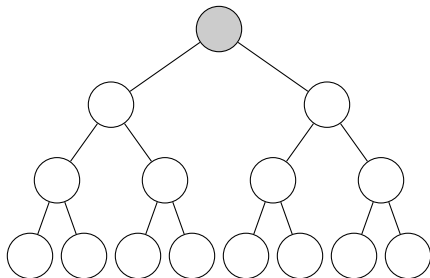
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- ▶ Output in the appropriate order..

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- ▶ Streaming message input
  - ▶ Blockwise BLAKE512
  - ▶ Stream twice: once for randomness, once for digest

# ChaCha<sub>12</sub>

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- ▶ ARMv7 rotates are (almost) free!
  - ▶ `eor r6, r6, r11, ROR #29`

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- ▶ On 4-core Haswell:  
*“[...] signs hundreds of messages per second.”*

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- ▶ Implemented XMSS<sup>MT</sup>, configured similarly
  - ▶ BLAKE and ChaCha primitives, 256 bit
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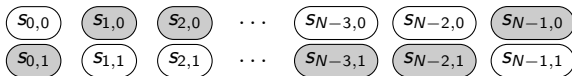
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- ▶ Key generation: 8 857 708 189 cycles
- ▶ Avg. signing: 19 441 021 cycles
- ▶ Verification: 4 961 447 cycles

# Conclusions

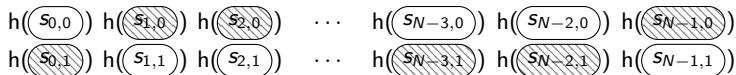
- ▶ Stateless is expensive, but not prohibitively so
  - ▶ Signing 30x as expensive as XMSS<sup>MT</sup>
  - ▶ Verification similar to XMSS<sup>MT</sup>
  - ▶ (Key generation much cheaper)
  
- ▶ Feasible on limited platforms
  - ▶ Verification is practical
  - ▶ Non-interactive signatures (high latency)
  
- ▶ Further algorithmic improvements desirable

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- ▶ 'Classic example' of hash-based signatures
- ▶ Private key:  $N$  pairs of random numbers



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- ▶ Public key: hash  $w$  times

$h^w((s_0))$   $h^w((s_1))$   $h^w((s_2))$  ...  $h^w((s_{N/m-3}))$   $h^w((s_{N/m-2}))$   $h^w((s_{N/m-1}))$

# The Winternitz improvement

- ▶ Trade time for signature ~~and public key size~~
- ▶ Idea: sign groups of  $m$  bits, let  $w = 2^m$
- ▶ Private key:  $N/m$  random numbers

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- ▶ Public key: hash  $w$  times, and construct an L-tree

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- ▶ Signature on  $N$ -bit value, e.g. 1010 0110 0101 1100
  - ▶ For this example, assume  $m = 4$ , so  $w = 16$

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- ▶ Verification: complete hashes to  $w$ , check with public key

# HORST

- ▶ Few-time signature scheme, two parameters  $k, t$ , (e.g.  $k = 32, t = 2^{16}$ )
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  - ▶ Include authentication paths
- ▶ Very small chance of re-use